

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/113,955	08/31/93	REED	M E4800.001P00
			EXAMINER
0362/0323			
DICKSTEIN, SHAPIRO & MORIN 2101 L ST, NW., STE 400 WASHINGTON, DC 20037			ART UNIT PAPER NUMBER
			0000
DATE MAILED:			03/23/95

MAR 27

E4800.001P001 A  
AH 3/27/95

## NOTICE OF ABANDONMENT UNDER 37 CFR 1.53

Application serial number 08/113955 is abandoned.

The application did not comprise a complete application, therefore it was not accepted for examination.

The applicant failed to submit the omissions identified in the Notice mailed on Feb. 16, 1994 within the required TWO MONTHS.

The \$ 0 fee submitted with the application less a \$130 handling fee will be refunded or credited to your deposit account.

*C. Barnes*

SPECIAL PROCESSING AND CORRESPONDENCE BRANCH  
ONAR, APPLICATION PROCESSING DIVISION  
(703) 308-1203

STEIN, SHAPIRO & MORIN

**DETACH AND RETAIN THIS STATEMENT**

THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW.  
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

070439

PETITION FOR EXTENSION OF TIME      \$1320.00

Michael REED et al.

Art Unit: NOT YET ASSIGNED

Serial No.: Rule 53 Continuation  
of 08/113,955

Examiner: NOT YET ASSIGNED

Filed: August 31, 1993

For: MULTIMEDIA SEARCH SYSTEM

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Applicant, through counsel, hereby request(s) an  
extension of time for 4 months.

A check in the amount of \$1,320.00 is attached. If no check  
is attached and a fee is due or if the check enclosed is  
insufficient, the Commissioner is authorized to charge any fee or  
additional amounts due in connection with this communication to  
Deposit Account No. 04-1073. A duplicate copy of this sheet is  
attached.

In the event that a further petition for an extension of  
time is required to be submitted at this time, applicant(s)  
hereby petition(s) under 37 CFR 1.136(a) for an extension of time

DICKSTEIN, SHAPIRO & MORIN

Jon D. Grossman

Reg. No. 32,699

2101 L Street, N.W.

Washington, D.C. 20037-1526

(202) 785-9700

Dated: February 28, 1994

Dickstein, Sharpiro & Morin  
2101 L Street, N.W.  
Washington, DC 20037

FEB 20 1994

In re Application of :  
Michael Reed et al. :  
Serial No. 08/113,955 :  
Deposited: August 31, 1993 : DECISION ON PETITION  
For: MULTIMEDIA SEARCH SYSTEM :

This is a decision on the petition filed October 29, 1993, requesting that the above-identified application be accorded a filing date of August 31, 1993.

The application was deposited on August 31, 1993. However, on September 14, 1993, Application Division mailed a letter requiring page 1 of the specification and stating that the filing date would be the date of receipt of the omitted page. An oath or declaration in compliance with 37 CFR 1.63, \$710.00 for the basic filing fee, \$1,446.00 for additional claims and the \$130.00 surcharge, if necessary, were also required.

In response, on October 29, 1993, the present petition was filed. Petitioners argue, in effect, that page 1 of the specification is not necessary to understand the claimed subject matter. The petition is accompanied by an amendment canceling the incomplete sentence on page 2 of the specification and renumbering the pages present on August 31, 1993

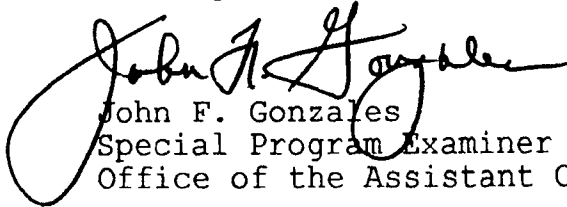
As set forth in MPEP 608.01, an application is considered incomplete, if it omits one or more pages of the specification. Since the application, as deposited, omitted page 1 of the specification, the application is prima facie incomplete in the absence of that page. While the petition argues that the omitted page is unnecessary for an understanding of the claimed subject matter, the petition was not accompanied by an oath or declaration by the inventors as required by MPEP 608.01 at pages 600-28 and 600-29.

At present, the petition is dismissed. However, this decision is made without prejudice to reconsideration upon the filing of a request therefor. If the inventors agree that page 1 is unnecessary for an understanding of their invention, the

upon the filing of a request for reconsideration, submit an oath or declaration in compliance with 37 CFR 1.63 by the inventors including a statement that their invention is adequately disclosed in, and their wish to rely on, the application as amended on October 29, 1993, without page 1 of the specification for purposes of an original disclosure and filing date.

Alternatively, if the applicants think page 1 is necessary for an understanding of their invention, the page must be filed accompanied by a supplemental oath or declaration by the inventors referring to the specification originally deposited, as amended to include page 1. In this case, the filing date of the application will be the date of receipt of page 1.

A request for reconsideration (no further petition fee is required) as outlined above or page 1 and the supplemental oath or declaration referring to the page must be filed within TWO MONTHS of the date of this decision in order to be considered timely. See 37 CFR 1.181(f). Failure to file a timely response will result in the return of the application to Application Division for processing as an incomplete application under 37 CFR 1.53(c). The response should be directed to the attention of the Office of the Assistant Commissioner for Patents, Crystal Park Building 2, Room 919, Washington, D.C. 20231.

  
John F. Gonzales  
Special Program Examiner  
Office of the Assistant Commissioner for Patents

JFG

INSTRUCTIONS TO DOCKET CLERK

FOR

ALL P.T.O. FILINGS

The following papers  
have been filed:

Description of paper: PETITION FOR GRANTING FILING DATE; PRELIMINARY ADMT  
Check #130.00

Name of Applicant: REED et al.

Serial No.: 08/113/955

Docket No.: E4800.001

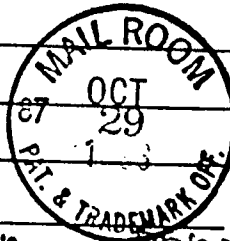
Sender's Initials: JG/dcgw

Title: MULTIMEDIA SEARCH SYSTEM

Date of filing paper: Oct. 29, 1993

Old due date/Convention Date (New Cases)

New due date:



Individual certifying New Due Date

*Handwritten:*  
11/2/93

INSTRUCTIONS TO DOCKET CLERK

FOR  
ALL P.T.O. FILINGS

The following papers  
have been filed:

Description of paper: PETITION FOR GRANTING FILING DATE; PRELIMINARY ADMT  
Check # 130.00

Name of Applicant: REED et al.

Serial No.: 08/113/955

Docket No.: E4800.001 Sender's Initials: JG/dcgw

Title: MULTIMEDIA SEARCH SYSTEM

Date of filing paper: Oct. 29, 1993

Old due date/Convention Date (New Cases)

New due date:



Individual certifying New Due Date

*ccw*

*Indic  
11/2/93*



Description of paper: PETITION; COPY OF POSTCARD dated 10/29/93; COPY OF  
PETITION FOR GRANTING FILING DATE; COPY OF PREL.  
ADMT; COPY OF NOTICE OF INCOMPLETE APPLN.

Name of Applicant: REED et al.

Serial No.: 08/113,955

Docket No.: E4800.001/P001A Sender's Initials: JDG/dcg

Title: MULTIMEDIA SEARCH SYSTEM

Date of filing paper: November 23, 1993

Old due date/Convention Date (New Cases)

New due date:

Individual certifying New Due Date

### FOR NEW CASES ONLY

Client or Associate:

Client Ref. No.:

Assignee:

Type of Invention (C, M, E or D) Attorney's Initials

White - to Docket Clerk  
Yellow - for Case File

The following papers  
have been filed:

FOR  
ALL P.T.O. FILINGS

Description of paper: PETITION FOR GRANTING FILING DATE; PRELIMINARY ADMT

Name of Applicant: REED et al.

Serial No.: 08/113/955

Docket No.: E4800.001 Sender's Initials: JG/dcgw

Title: MULTIMEDIA SEARCH SYSTEM

Date of filing paper: Oct. 29, 1993

Old due date/Convention Date (New Cases)

New due date:

Individual certifying New Due Date

FOR NEW CASES ONLY

Client or Associate:

Client Ref. No.:

Assignee:

Type of Invention (C, M, E or D) Attorney's Initials

White - to Docket Clerk  
Yellow - for Case File

DICKSTEIN, SHAPIRO & MORIN

**DETACH AND RETAIN THIS STATEMENT**

THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW.  
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

065954

Filing fee -- Declaration

\$130.00

In re the Application of

Michael REED et al.

Serial No.: 08/113,955

Examiner: NOT YET ASSIGNED

Filed: August 31, 1993

Group Art Unit: NOT YET ASSIGNED

For: MULTIMEDIA SEARCH SYSTEM

PETITION FOR GRANTING FILING DATE

The Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

Sir:

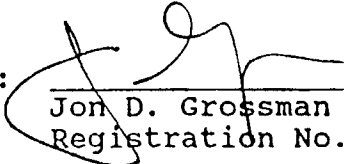
This Petition is provided under MPEP 608.01. The above-identified application was filed without all pages of the specification. In particular, page 1 of the specification, which provides a description of the background of the invention, was found to be missing by the Patent and Trademark Office. Although applicant believes that this page was included, it is submitted that this page is not necessary for an understanding of the subject matter sought to be patented or for support of the claims. Applicant thereby petitions to have the application accepted without the omitted page.

This Petition is accompanied by a Petition fee under 37 C.F.R. §1.17(h) and an amendment cancelling from the specification all incomplete sentences. Again, no claims depend upon the omitted page of the disclosure for support. Moreover, an Oath or Declaration has not yet been filed in this matter. An Oath or

Respectfully submitted,

DICKSTEIN, SHAPIRO & MORIN

By:

  
Jon D. Grossman  
Registration No. 32,699

2101 L Street, N.W.  
Washington, D.C. 20037  
(202) 828-2279  
Date: October 29, 1993

In re the Application of  
Michael REED et al.

Serial No.: 08/113,955

Examiner: NOT YET ASSIGNED

Filed: August 31, 1993

Group Art Unit: NOT YET ASSIGNED

For: MULTIMEDIA SEARCH SYSTEM

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41166

I hereby certify that this document is being hand-delivered by  
Alas Come  
to the U.S. Patent and Trademark Office on: 10/29/93  
Shankar Jangar 10/29/93  
Date:

Michael REED et al.

Serial No.: 08/113,955

Examiner: NOT YET ASSIGNED

Filed: August 31, 1993

Group Art Unit: NOT YET ASSIGNED

For: MULTIMEDIA SEARCH SYSTEM

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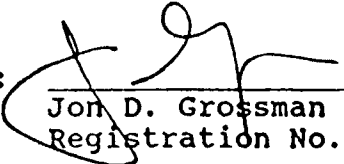
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In re the Application of

Michael REED et al.

Serial No.: 08/113,955

Examiner: NOT YET ASSIGNED

Filed: August 31, 1993

Group Art Unit: NOT YET ASSIGNED

For: MULTIMEDIA SEARCH SYSTEM

PRELIMINARY AMENDMENT

The Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Please preliminarily amend the above-identified  
application prior to examination on the merits as follows:

IN THE SPECIFICATION

Please renumber the pages of this application beginning on  
page 1 through page 68 which should now be renumbered pages 2-67.

On page 2, lines 1-2, please delete "the combination of  
search strategies to uncover graphical and related textual  
information or vice versa. Other limitations" to --Limitations--.

REMARKS

The application now is in condition for examination. In  
the event that the Examiner has any questions pertaining to this  
Preliminary Amendment, he is urged to contact Applicant's

Respectfully submitted,

DICKSTEIN, SHAPIRO & MORIN

By:

\_\_\_\_\_  
Jon D. Grossman  
Registration No. 32,699

2101 L Street, N.W.  
Washington, D.C. 20037  
(202) 828-2279  
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Filed: August 31, 1993

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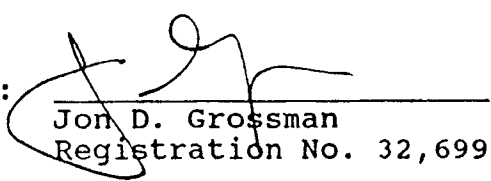
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DICKSTEIN, SHAPIRO & MORIN

By:



Jon D. Grossman

Registration No. 32,699

2101 L Street, N.W.  
Washington, D.C. 20037  
(202) 828-2279  
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In re the Application of

Michael REED et al.

Serial No.: 08/113,955

Examiner: NOT YET ASSIGNED

Filed: August 31, 1993

Group Art Unit: NOT YET ASSIGNED

For: MULTIMEDIA SEARCH SYSTEM

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REMARKS

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Respectfully submitted,  
DICKSTEIN, SHAPIRO & MORIN

By: Jon D. Grossman  
Registration No. 32,699

2101 L Street, N.W.  
Washington, D.C. 20037  
(202) 828-2279  
Dated: October 29, 1993



03B1/0914

DICKSTEIN, SHAPIRO & MORIN  
2101 L ST. NW., STE 400  
WASHINGTON, DC 20037

SEP 20

0000

DATE MAILED:

09/14/93

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
NO FILING DATE**

(Enclosure to Form PTO-1123)

Required items 1-9 below SHOULD be filed, with any items required on the "Notice of Incomplete Application" enclosed with this form. The filing date of this application will be the date of receipt of the items required on the "Notice of Incomplete Application." If items 1 and 3-6 below are submitted after the filing date, THE PAYMENT OF A SURCHARGE OF \$ 130 large entities or \$ 65 for small entities who have filed a verified statement 37 CFR 1.27 claiming such status will also be required. (37 CFR 1.16(e)).

The total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed) is \$ 2156.

1. ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity must submit \$ 710 to complete the basic filing fee.

2. ☒ Additional claim fees of \$ 1446 as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

3. ☒ The oath or declaration:  
☒ is missing.

☐ does not cover items required on "Notice of Incomplete Application."

An oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and Receipt Date, is required.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Receipt Date, is required.

5. ☐ The signature to the oath or declaration is: ☐ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and Receipt Date, is required.

6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration: \_\_\_\_\_ An oath or declaration signed by the omitted inventor(s), identifying this application by the above Application Number and Receipt Date, is required.

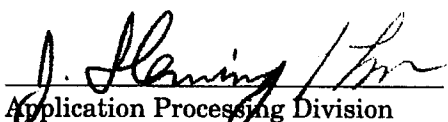
7. ☐ A \$ \_\_\_\_\_ processing fee is required for returned checks. (37 CFR 1.21(m)).

8. ☐ The application does not comply with the Sequence Rules. See attached Notice To Comply with Sequence Rules 37 CFR 1.821-1.825.

9. ☐ Other:

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch.

**A copy of this notice MUST be returned with the response.**

  
Application Processing Division  
(703) 308-1202

03B1/0914

DICKSTEIN, SHAPIRO & MORIN  
2101 L ST, NW., STE 400  
WASHINGTON, DC 20037

0000

DATE MAILED:

09/14/93

**NOTICE OF INCOMPLETE APPLICATION**

A filing date has NOT been assigned to the above identified application papers for the reason(s) shown below.

1. ☒ The specification (description and claims):
- a. ☐ is missing
  - b. ☒ has page 1 missing
  - c. ☐ does not include a written description of the invention.
  - d. ☐ does not include at least one claim in compliance with 35 U.S.C. 112.

A complete specification in compliance with 35 U.S.C. 112 is required.

2. ☐ A drawing of Figure(s) \_\_\_\_\_ described in the specification is required in compliance with 35 U.S.C. 111.
3. ☐ A drawing of applicant's invention is required since it is necessary for the understanding of the subject matter of the invention in compliance with 35 U.S.C. 113.
4. ☐ The inventor's name(s) is missing. The full names of all inventors are required in compliance with 37 CFR 1.41.
5. ☐ Other:

All of the above-noted items, unless otherwise indicated, must be submitted within **TWO MONTHS** of the date of this notice or the application will be returned or otherwise disposed of. Any fee which has been submitted will be refunded less a \$\_\_\_\_\_ handling fee. See 37 CFR 1.53(c).

The filing date will be the date of receipt of all items required above, unless otherwise indicated. Any assertions that the items required above were submitted, or are not necessary for a filing date, must be by a petition directed to the attention of the Office of the Assistant Commissioner for Patents accompanied by the \$\_\_\_\_\_ petition fee (37 CFR 1.17(h)). If the petition states that the application is complete, a request for refund of the petition fee may be included in the petition.

Direct the response and any questions about this notice to, Attention:  
Application Processing Division, Special Processing and Correspondence Branch.

***A copy of this notice MUST be returned with the response.***

Enclosed:

- ☐ "General Information Concerning Patents." See page \_\_\_\_\_
- ☐ Copy of a patent to assist applicant in making corrections.
- ☒ "Notice to File Missing Parts of Application," Form PTO-1532.
- ☐ Other: \_\_\_\_\_

03B1/0914

DICKSTEIN, SHAPIRO & MORIN  
2101 L ST, NW., STE 400  
WASHINGTON, DC 20037

0000

DATE MAILED:

09/14/93

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4. ☐ The inventor's name(s) is missing. The full names of all inventors are required in compliance with 37 CFR 1.41.
5. ☐ Other:

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***A copy of this notice MUST be returned with the response.***

Enclosed:

- ☐ "General Information Concerning Patents." See page \_\_\_\_\_
- ☐ Copy of a patent to assist applicant in making corrections.
- ☒ "Notice to File Missing Parts of Application," Form PTO-1532.
- ☐ Other: \_\_\_\_\_

Description  
of paper:

Appln; Drugs;

Name of  
Applicant:

Reed et al.

Serial No.:

Not yet Assigned

Pocket No.:

E4800/001A

Sender's Initials:

JE/dcj

Title:

Multi Media Search System

Date of filing paper:

August 31, 1993

Old due date/Convention Date (New Cases)

New due date:

Individual certifying New Due Date

08

113955

DE/COT



## INSTRUCTIONS TO DOCKET CLERK

FOR  
ALL P.T.O. FILINGSThe following papers  
have been filed:Description  
of paper: Appl; Drawings; transmittalName of  
Applicant: Reed et al.Serial No.: Not yet AssignedDocket No.: E4800/001A Sender's Initials: JE/dcyTitle: Multi Media Search SystemDate of filing paper: August 31, 1993

Old due date/Convention Date (New Cases) \_\_\_\_\_

New due date: \_\_\_\_\_

\_\_\_\_\_  
Individual certifying New Due Date

## INSTRUCTIONS TO DOCKET CLERK

FOR

## ALL P.T.O. FILINGS

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Description  
of paper:

Appl; Drugs; Transmittal

Name of  
Applicant:

Reed et al.

Serial No.:

Not yet Assigned

Docket No.:

E4800/001A

Sender's Initials:

SG/dcy

Title:

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Date of filing paper:

August 31, 1993

Old due date/Convention Date (New Cases)

New due date:

Individual certifying New Due Date

## FOR NEW CASES ONLY

Client or Associate:

Client Ref. No.:

Assignee:

Type of Invention

(C, M, E or D)

Attorney's Initials

White - to Docket Clerk  
Yellow - for Case File

2101 L Street, N.W., Suite 400  
Washington, D.C. 20037  
(202) 785-9700

Honorable Commissioner of  
Patents & Trademarks  
Washington, D.C. 20231

Re: MULTIMEDIA SEARCH SYSTEM

Sir:

Transmitted herewith is an application for filing under 37  
C.F.R. §1.53 without executed Declaration.

<b>INVENTORS:</b>	Michael Reed	Greg Bestick
	Carol Greenhalgh	Norman J. Bastin
	Ron Carlton	Stanley D. Frank
	Dale Good	Neil Holman
	Carl Holzman	Ann Jensen
	Harold Kester	Dave Maatman
	Edwardo Munevar	Derryl Rogers

Serial No.: NOT YET ASSIGNED

Filed: August 31, 1993

For: MULTIMEDIA SEARCH SYSTEM

ENCLOSED ARE:

  X   68 Pages of Text Including Spec., Claims and Abstract.

  X   20 sheets of DRAWINGS - Figs. 1-23.

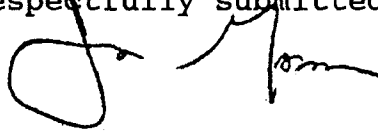
THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW.

BASIC FEE	\$	_____
TOTAL CLAIMS: (79 - 20) = (59 x 11.00)	+	_____
INDEPENDENT CLAIMS (5 - 3) = (2 x 37.00)	+	_____
MULTIPLE DEPENDENT CLAIMS PRESENTED (\$115.00)	+	_____
TOTAL OF ABOVE:	=	_____
LESS 50% REDUCTION FOR QUALIFIED SMALL ENTITY	-	_____
TOTAL FILING FEE	=	_____
ASSIGNMENT RECORDATION FEE (\$40.00)	+	_____
TOTAL:	=	\$_____

\_\_\_\_\_ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.

\_\_\_\_\_ If there are any fees due in connection with the filing of this Application, please charge the fees to our Deposit Account No. 04-1073. A duplicate copy of this letter is attached.

Respectfully submitted,



Date: August 31, 1993

Jon D. Grossman  
Attorney for Applicant  
Registration No. 32,699

Docket No. E4800.0001/P001A